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# **EUROPEAN PATENT APPLICATION**

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## (54) Lead-free solder composition

(57) Electrical solder compositions (by weight percent) having between 91.5-96.5% Sn; 2-5% Ag; 0.1-3%Ni; and 0-2.9% Cu; and having a melting temperature 220°C or less. The solder compositions have

microstructure with uniformly dispersed fine grains of Sn-Ni, Sn-Cu and Sn-Cu-Ni intermetallic phases that provide resistance to grain growth during thermal cycling.

#### D ription

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The present invention relates to an electrical solder composition comprising tin (Sn), silver (Ag) and nickel (Ni), and optionally copper (Cu). More specifically, the present invention relates to an electrical solder composition having between 91.5-96.5% Sn; 2-5% Ag; 0.1-3% Ni and 0-2.9% Cu; and having a melting temperature 220°C or less. All percentages are by weight.

It is known to manufacture electrical solders without the presence of lead. Lead has been found to be a toxic material whose handling and disposal increases manufacturing costs and complexity. A number of electrical solders have been proposed that do not include lead. These solders generally contain tin (Sn), indium (In), bismuth (Bi), silver (Ag) and a variety of other materials. A summary of these lead free solders is outlined in Table 1.

Table 1 (Prior Art)

	No.	US Patent No.	Sn [Wt %]	In [Wt %]	Bi [Wt %]	Ag [Wt %]	Other [Wt %]	Melting [°C]
20	1	4,806,309	90-95		1-4.5	0.1-	3-5 Sb	218-235
	2 3 4	1,565,115 4,797,328 3,607,253	87-92 86-99 89.3- 96.0	0-10 	 1-5	8-13 0-13 3-3.8	3 Au, Pd 1-10 Ti, Zr 0.7-1.3 Cd	283-330 700-950 210
25	5	3,157,473	(1) (2) (3) 49.1	100 97 50.9		3	0.2-0.5 Sb	157 144
	6 7 8	3,503,721 5,455,004	90-98 82-90	3.5-6	1-5	2-10	 4.5-6 Zn	120 220 190
30	9	5,429,689 5,344,607	80.0 70-90	5-14.5 8-20	4.5- 14.5 2-10	0.5		175-220
	10 11	5,328,660 4,214,903	78.4 22-35	9.8 2-12	9.8 53-76	2	'	153-199
35	12	4,011,056	0.5- 3.5			35-48	25-35 Zn, 0.01-0.4 Si, 0-1 Ni, 0-1	
	13	4758407	92.5- 96.9				Pd, 0-0.5 F 3-5 Cu, 0.1-2 Ni, 0-5 Ag	

U.S. Patent number 4,758,407, teaches a plumbing solder composition of 92.5-96.9% Sn, 3-5% Cu, 0.1-2% Ni and 0-5% Ag. It is described as having a wide melting range for joining copper tubes and brass pipe and fittings. This solder composition was found to be especially suited for plumbing applications having "tight loose fitting solder joints which are exposed to potable water." Plumbing solders such as those disclosed in 4,758,407, are formulated to have a wide melting range to easily flow between the fittings. They generally melt at much higher temperatures than electrical solders because the underlying base materials (metal plumbing fixtures) can easily withstand these higher melting temperatures. Electrical solders, on the other hand, should generally have a narrow melting range and lower melting temperatures to protect the substrates and electrical components. Additionally, as will be more fully described below, concentrations above 3% Ni and Cu will reduce the benefits of eutectic microstructures and reduce the strength of the solder after thermal cycling.

U.S. Patent No. 4,011,056, teaches a solder or brazing composition of 35-48% Ag, 25-35% Zn, 3-5% Cu, 0.5-3.5% Sn, 0.01-0.4% Si, 0-1% Ni, 0-1% Pd and 0-0.5% P. The high content Ag makes this composition impractical for high volume electrical solder usage. Additionally, the high percentage of Zn in this solder causes corrosion problems. Because of the high melting temperatures, these solder compositions can not be used for electronic applications.

U.S. Patent number 3,607,253, teaches a solder composition that contains cadmium (Cd) which like lead, is also toxic. It is both difficult to work and handle and also produces a hazardous waste.

U.S. Patent numbers 4,806,309, 1,565,115, provide solder compositions with relatively high melting temperatures and wide melting range. Also, the solder composition in U.S. Patent 1,565,115, is expensive because it contains Au and Pd.

U.S. Patent numbers 4,797,328, 3,157,473, 5,455,004, 5,429,689, 5,344,607, 5,328,660, and 4,214,903, all include high quantities of indium. Indium is very expensive and a limited mineral reserve. Solders having large quantities of indium are generally impractical for high volume production usage as anticipated by the present invention.

Currently, the vast majority of electronics manufacturing activities use eutectic tin-lead (63% Sn - 37% Pb) solder, the melting temperature of which is 183°C. It is useful to identify a solder that is lead-free and therefore less toxic to users and the environment.

Of the common lead-free alternatives, eutectic 96.5% Sn- 3.5% Ag solders (included in U.S. patent number 3,503,721) are preferred because they provide good ductility and resistance to fatigue and creep (due to expansion and contraction from temperature changes). Sn-Ag eutectic solders initially perform well, but generally experience grain growth when subjected to thermal cycling. The grain growth (coarsening) decreases creep and fatigue resistance. Small additions of Ni and optionally Cu to the alloy increase both properties and demonstrate narrow melting temperature range. It is especially well suited for automotive electronics applications because of its ability to withstand long term thermal cycling.

The present invention is related to an electrical solder composition having between 91.5-96.5% Sn; 2-5% Ag; 0.1-3% Ni; and 0-2.9% Cu and having a melting temperature of 220°C or less. All percentages are by weight. The solder compositions have uniformly dispersed fine grains of Sn-Ni intermetallics that are very resistant to coarsening due to thermal cycling. Solder compositions having Cu also include fine grains of Sn-Cu and Sn-Ni-Cu intermetallic phases.

It is also a desired feature of the present invention to provide a solder exhibiting favourable thermal and electrical conductivity and satisfactory mechanical properties. Another object of the invention is to provide a lead-free solder composition that is affordable and in a reasonable supply for large volume production use. These and other advantages, features and objects of the present invention will become more apparent to those if ordinary skill in the art upon reference to the following description.

The present invention is directed to a lead free solder that provides good fatigue and creep resistance. It is especially directed to providing a durable and damage resistant electrical interconnect for electronic components exposed to wide temperature variations as is commonly found in automotive applications. A common automotive test for measuring the durability of a solder is to expose the interconnect to a large temperature variation, typically from -40 to 125°C. In this accelerated test, temperature variation is repeated more than one thousand times. Each cycle from hot to cold causes the substrate, electronic component, metal leads and the solder to expand and contract (often widely different coefficients of thermal expansion).

The repeated cycle of hot and cold causes fatigue in the solder interconnect and weakens the attachment. If the weakening is extensive, the interconnect fails by solder cracking and the component becomes inoperable. While not wishing to be bound by the following theory, it is believed that the thermal cycling causes the microstructure of the solder to coarsen. The coarser grain structure leads to reduced fatigue strength and makes the solder more susceptible for crack formation and propagation under thermomechanical loading. The inclusion of small quantities of Ni and Cu in the Sn-Ag alloy creates intermetallic Sn-Ni, Sn-Cu, and Sn-Cu-Ni phases. These additional phases with finer grain structure tend to resist grain coarsening.

The solder compositions that were found to include the preferred properties over standard 96.5 tin - 3.5% silver solder include: 91.5-96.5% tin (Sn), 2-5% silver (Ag) 0.1-3% nickel (Ni) and 0-2.9% copper (Cu). All percentages are by weight.

## Fabrication of sample compositions

Solder samples were fabricated using well-established methods. High purity metals were used as starting materials. These included 99.99+% Sn shots, 99.99+% Ni, Cu and Ag wire bits. These ingredients were mixed in predetermined proportions. Alumina crucibles were used. The ingredients were melted in a tube furnace, under a flowing  $N_2$  atmosphere to prevent oxidation. The alloy was kept in a molten state for up to 20 minutes and stirred for homogenisation. Furnace temperature was measured with thermocouples and recorded with a chart recorder.

The following samples were made by mixing respective starting metals in the proportions shown below.

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## Chemical Compositions

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Table 2 Solder Alloys (weight percentages)

Alloy Sample No.	Sn	Ag	Ni	Cu	Micro Hardness (KHN)	Melting Temp (°C)
1 (PRIOR ART) 2	96.5	3.5	-	-	16.6	221
3	96.5	3.0	0.5	-	15.4	220
4	96.5	2.5	1.0	~	16.4	220
5	96.5	2.5	0.5	0.5	16.1	220
6	96.0	2.0	1.0	1.0	15.6	217
7	95.0	3.0	1.0	1.0	14.9	217
•	94.0	2.0	2.0	2.0	14.5	216

Samples were taken from each alloy ingot and submitted for chemical analysis. Results indicate that the final alloy composition is close to the starting composition.

A metallurgical examination using metallotraphy and electron microscopy was performed on each sample. The prior art alloy No. 1 exhibited a binary eutectic composition having a melting point of 221°C. The alloy exhibits a eutectic microstructure consisting of the Sn-phase and the Sn-Ag intermetallic phase. These two phases become coarser when the solder is subjected to thermal cycling. Thermal cycling is a process of ageing the soldered component between temperature extremes (typically -40 to 125°C). The coarser grains of these two phases make the solder less resistant to crack formation and propagation in the interconnect.

Alloys 2 and 3 include 0.5% and 1%Ni, respectively. The small amount of Ni reduces the melting temperature of the alloy because the additional element reduces the free energy of the resulting three-element alloy system. Alloys 2 and 3 consist of primarily Sn-rich phase and dispersed Sn-Ag and Sn-Ni intermetallics. The Sn forms intermetallics with Ag and Ni. The uniformly dispersed fine, needle-like grains of Sn-Ni intermetallic phase appear as long grains.

Alloys 4-7 include between 0.5 and 2.0 % Cu. The addition of Cu causes the formation of dispersed platelet like grains of Sn-Cu-Ni and Sn-Cu intermetallic phases. The larger amounts of Ni and Cu in the Sn-Ag eutectic progressively increases the grain size of these intermetallics in the alloy.

Inclusion of small amounts of Ni and Cu are helpful for enhancing fatigue/creep resistance via grain growth control. It is thought that the intermetallic particles impede grain growth and retard grain coarsening. Thus, another feature of the present solders is their stability after thermal ageing. This long term thermal stability is essential for solder interconnects used in automotive applications.

# Differential Scanning Calorimetric (DSC) Analysis

Samples were taken for each alloy ingot for DSC analysis. The melting temperatures of the alloys in Table 2 were determined by differential scanning calorimetric (DSC) analysis. The analysis was performed using a DuPont DSC 2910 system under a flowing N<sub>2</sub> atmosphere at a given heating/cooling rate (5°C/minute). The characteristic temperatures from the DSC curves were analysed to determine the melting temperatures of the alloys, which are summarised in Table 2 above.

## Microstructural Analysis

Microstructural analysis was performed using chemical etching, optical microscopy, scanning electron microscope (SEM) microprobe, and x-ray diffraction (XRD). Suitable etchants for the disclosed alloys include a solution of diluted hydrochloric acid.

The microhardness of these alloys have been measured on a microhardness tester. Thirty measurements were taken for each sample using a 25g load for 0.5 seconds and the average was taken for each sample. The results of the hardness (expressed as a Knoop hardness number or KHN) ar summarised in Table 2 above.

The microstructures of the alloys were examined with a scanning electron microscope and results are summarised in the table below.

Table 3

5	Alloy No.	Microstructure
J	1 (PRIOR	Eutectic microstructure consisting of Sn-phase and
	ART)	Sn-Ag intermetallic phase
	2	Dispersed small needle-like grains of Sn-Ni
		intermetallic phase in Sn-Ag eutectic
10	3	Dispersed long needle-like grains of Sn-Ni
		intermetallic phase in Sn-Ag eutectic
	4	Dispersed small grains of Sn-Cu intermetallic phase
		and small grains of Sn-Ni-Cu intermetallic phase in
		Sn-Ag eutectic
15	5	Dispersed small grains of Sn-Cu intermetallic phase
		and larger grains of Sn-Ni-Cu intermetallic phase in
		Sn-Ag eutectic
	6	Dispersed small grains of Sn-Cu intermetallic phase
		and platelets of Sn-Ni-Cu intermetallic phase in Sn-
20	7	Ag eutectic
	/	Dispersed small grains of Sn-Cu intermetallic phase
		<pre>and larger platelets of Sn-Ni-Cu intermetallic phase in Sn-Ag eutectic</pre>
		in sn-Ag eutectic

The formation of Sn-Ni, Sn-Cu and Sn-Ni-Cu intermetallic phases inhibits grain growth. This long term fine-grain microstructure is believed to be key to durability by increasing the creep resistance and the fatigue strength because the fine grain structure resists crack propagation and reduces the likelihood of fracture. Concentrations higher than 3% Ni or Cu will reduce the benefits of eutectic microstructures and wilL increase the melting temperature range.

#### **Application**

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Alloys 2-7 were found to be non-toxic and readily manufacturable. They produced few toxic or hazardous waste materials and utilised existing commercial equipment for manufacturing and usage. Because of the relatively low silver content, the alloys were low cost and suitable for production in large commercial quantities.

The solders made from these compositions may be used in either a paste form (as in reflow soldering) or alternatively in bar solder form (as in wave soldering). Regular soldering processes (reflow, wave soldering and others) may be used with these solder compositions. In each case, the soldering peak temperature will generally be at least 10-15°C or preferably 15-30°C above the melting temperature of the solder alloy.

Different alloys may be adapted for further improvement of mechanical and physical properties by the inclusion of small quantities (less than 1% by weight) of other elements such as Bi, In, Sb, Ce and Zn. Addition of Bi or In will reduce the melting temperature of these solders. The addition of up to 2.5% Au improves ductility and strength of the alloy.

# Claims

1. An electrical solder composition comprising:

Sn 91.5 to 96.5% by weight; Ag from 2 to 5% by weight; and Ni from 0.1 to 2% by weight;

the composition having a melting temperature 220°C or less.

- 2. A composition as claimed in claim 1, further comprising 2.9% or less than 2.9% by weight of Cu.
- 3. A composition as claimed in claim 1, wherein said composition comprises:

96.5% Sn; 3% Ag; and 0.5% Ni;

the composition having a Sn-Ag eutectic microstructure and dispersed small needle-like grains of Sn-Ni intermetallic phase.

A composition as claimed in claim 1, wherein said composition comprises:

96.5% Sn; 2.5% Ag: and 1.0% Ni;

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the composition having a Sn-Ag eutectic microstructure and dispersed small needle-like grains of Sn-Ni intermetallic phase.

A composition as claimed in claim 2, wherein said composition comprises:

96.5% Sn; 2.5% Ag; 0.5% Cu; and 0.5% Ni;

the composition having a Sn-Ag eutectic microstructure and dispersed small grains of Sn-Cu intermetallic phase and small grains of Sn-Ni-Cu intermetallic phase.

6. A composition as claimed in claim 2, wherein said composition comprises:

96.0% Sn; 2.0% Ag; 1.0% Cu; and 1.0% Ni;

the composition having a Sn-Ag eutectic microstructure and dispersed small grains of Sn-Cu intermetallic phase and large grains of Sn-Ni-Cu intermetallic phase.

7. A composition as claimed in claim 2, wherein said composition comprises:

95.0% Sn; 3.0% Ag; 1.0% Cu; and 1.0% Ni;

the composition having a Sn-Ag eutectic microstructure and dispersed small grains of Sn-Cu intermetallic phase and platelets of Sn-Ni-Cu intermetallic phase.

8. A composition as claimed in claim 2, wherein said composition comprises:

94.0% Sn; 2.0% Ag; 2.0% Cu; and 2.0% Ni;

the composition having a Sn-Ag eutectic microstructure and dispersed small grains of Sn-Cu intermetallic phase and larger platelets of Sn-Ni-Cu intermetallic phase.

9. An electrical solder composition comprising about:

96 5% Sn; 2 5% Ag - 0.5% Ni; and 0.5% Cu;

the composition having a melting temperature 220°C or less.



# **EUROPEAN SEARCH REPORT**

Application Number EP 97 30 9901

Category	Citation of document with in of relevant pass	ndication, where appropriate.	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.6)
X	DE 38 30 694 A (BOS * the whole documen	CH GMBH ROBERT) t *	1	B23K35/26 //C22C13/00
D.X	US 4 758 407 A (BAL AL) + page 1 +	LENTINE RICHARD E ET	1	
A	US 4 643 875 A (MIZ	UHARA HOWARD)		
A	PATENT ABSTRACTS OF vol. 096, no. 005, & JP 08 001373 A ( POWDER CO LTD), 9 J * abstract *	31 May 1996 FUKUDA METAL FOIL &		
		<b></b> -		
				TECHNICAL FIELDS SEARCHED (Int.Ci.6)
				B23K
	The present search report has	been drawn up for all claims		
	Place of search	Date of completion of the search		
	THE HAGUE	27 March 1998	Mo	llet, G
X : par Y : par doc A : tec O : nor	ATEGORY OF CITED DOCUMENTS ticularly relevant if taken alone ticularly relevant if combined with and unent of the same category mological background -written disclosure trieddate document	E earlier patent of after the filing of the D document cited L document cited the D document	d in the application I for other reasons	lished on, or

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